



Top
Financial
Advisers
2020

FT 300 Ranking July 2020

VIGILANT Capital Management Named to 2020 Financial Times 300 Top Registered Investment Advisers (RIA)

WEDNESDAY, AUGUST 5TH, 2020

Portsmouth, NH – VIGILANT Capital Management is pleased to announce it has been named to the 2020 edition of the Financial Times 300 Top Registered Investment Advisers. This is the seventh annual FT 300 list and marks the fourth consecutive year that Vigilant has been named to this prestigious list.

On July 30, 2020, The Financial Times published a Special Report to honor the firms named to the list. According to the Financial Times report, “the final FT 300 represents an impressive cohort of elite RIA firms, as the median AUM of this year’s group is \$1.9 billion, up 29% from the previous year.” The 2020 FT 300 Top RIAs represent 39 different states and Washington, D.C.

The Financial Times 300 Top Registered Investment Advisers is an independent listing produced annually by Ignites Research, a division of Money-Media, Inc., on behalf of the Financial Times (July 2020). Ignites Research provides business intelligence on the investment management industry. The FT 300 is based on data gathered from RIA firms, regulatory disclosures, and the FT’s research. The listing reflected each practice’s performance in six primary areas: assets under management (AUM); AUM growth rate; years in existence; advanced industry credentials of the firm’s advisers; online accessibility; and compliance records. Over 750 applied for the award, 300 of which were selected (40%). This award does not evaluate the quality of services provided to clients and is not indicative of the practice’s future performance. Neither the RIA firms nor their employees pay a fee to The Financial Times in exchange for inclusion in the FT 300. To view the full 2020 FT 300 list, please visit <https://www.ft.com/reports/300-top-investment-advisers>.

About VIGILANT Capital Management

VIGILANT Capital Management is an independent wealth management firm with offices in Portsmouth, NH and Portland, ME. Our purpose is to guide our clients in managing their wealth in such a way that it positively impacts their lives and the lives of future generations. We work with successful individuals and families, as well as select non-profit and institutional organizations. Vigilant was founded in early-2002 and is an SEC-Registered Investment Adviser. As of June 30, 2020 Vigilant’s team of professionals managed more than \$1.4 billion on behalf of its client relationships. For more information, please visit <https://www.vigilantcap.com>.

Disclosure: “FT” and “Financial Times” are trademarks of The Financial Times Ltd. (“FT”). The Financial Times 300 Top Registered Investment Advisers is an independent listing (“The FT 300”) produced annually by the Financial Times (July 2020). The FT is not affiliated with VIGILANT Capital Management, LLC (“Vigilant”). The FT 300 is presented as “an elite group,” not a competitive ranking of one to 300. The results are not based upon information or opinions of participating Registered Investment Advisers’ (“RIA’s”) clients. Therefore, inclusion on The FT 300 is not representative of any participating RIA’s clients’ experiences. Neither the participating RIAs nor their employees pay a fee to The Financial Times in exchange for inclusion in The FT 300. The FT 300 is based on data gathered from RIAs, regulatory disclosures, The FT’s research, and/or research conducted on The FT’s behalf by “Ignites Distribution Research,” a Financial Times sister publication. The FT invited RIAs reporting \$300 million or more in client assets under management to complete a lengthy application, which was analyzed along with data available from regulatory filings. The FT indicates that the methodology used to evaluate inclusion on The FT 300 is quantifiable and objective, and that the participating RIAs had no subjective input in the analysis. Approximately 750 RIAs applied and 300 made the final list. The formula utilized to evaluate participating RIAs for inclusion on the list is based on six factors and calculates a numeric score for each participating RIA. Areas of consideration include assets under management (AUM); AUM growth rate; years in existence; advanced industry credentials of the firm’s advisers; online accessibility; and compliance records. By considering the “SEC compliance record,” eligibility to appear on The FT 300 is designed to ensure that a participating RIA has an established and significant history and record free from regulatory sanctions. Assets under management comprised an average of 72 per cent of each participating RIA’s score, while asset growth accounted for an average of 15 per cent. Additionally, The FT caps the number of companies from any one state. The cap is roughly based on the distribution of millionaires across the US. To the best of

Vigilant's knowledge: The FT does not include RIAs on The FT 300 if the ratings are not statistically valid with respect to any RIA; and there are no facts that would call into question the validity of the inclusion on The FT 300 or the appropriateness of Vigilant advertising the same. Inclusion on the FT 300 is absolutely not indicative of Vigilant's future performance, or any future performance pertaining to its clients' investments; should not be construed by a client or prospective client as a guarantee that they will experience a certain level of results if Vigilant is engaged, or continues to be engaged, to provide investment advisory services; and should not be construed as a current or past endorsement of Vigilant by any of its clients.